

PTO/PCT Rec'd 09 AUG 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Keiichi IMAMURA et al. : Docket No. 2001\_0555A  
Serial No. 09/830,923 :  
Filed May 3, 2001 :

PICOLINAMIDE DERIVATIVES AND PEST  
CONTROLLERS CONTAINING THE SAME  
AS THE ACTIVE INGREDIENT

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing date of the first Office Action on the merits,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**  
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and  
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
- b. ☒ a foreign patent office search report (in the English language), i.e. International Search Report of record,

- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is of record.

Applicants filed an Information Disclosure Statement on May 3, 2001, based on the International Search Report, a copy of which is of record. The five Japanese references cited on the attached PTO-1449 form submitted herewith correspond to the US, EP and WO references cited in the earlier Information Disclosure Statement. All of these Japanese references are also cited in the International Search Report.

The attached PTO-1449 form also cites the same four publications listed under other documents in the earlier PTO-1449 form. Copies of these references are submitted herewith, along with copies of the five Japanese references.

Respectfully submitted,

Keiichi IMAMURA et al.

By



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August 9, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: August 9, 2001

ATTY DOCKET NO.  
2001\_0555ASERIAL NO.  
09/830,923APPLICANT  
Keiichi IMAMURA et al.FILING DATE  
May 3, 2001

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AH	53-146758	12/1978	Japan				
	AI	7-224039	8/1995	Japan				
	AJ	8-231485	9/1996	Japan				
	AK	6-25199	2/1994	Japan				
	AL	9-510471	10/1997	Japan				

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	Largerone et al., "Relationship Between Pyridine Nitrogen Basicity and Steric Crowding in an N-Substituted-3-methoxypicolinamide Series", J. Chem. Research (s), p. 454-455 (1996).
	AN	Largerone et al., "Electrochemical Reduction of Pristin amycin IA and Related Streptogramins in Aqueous Acidic Medium", Tetrahedron, Vol. 50, No. 21, p. 6307-6332 (1994).
	AO	French et al., " $\alpha$ -(N)-Formylheteroaromatic Thiosemicarbazones. Inhibition of Tumor-Derived Ribonucleoside Diphosphate Reductase and Correlation with In Vivo Antitumor Activity.", J. Med. Chem., Vol. 17, No. 2, p. 172-181 (1974).
	AP	Nedenskov et al., "O-Alkylation of 3-pyridinol", Acta Chem. Scand., Vol. 23, p. 1791-1796 (1969).

EXAMINER

DATE CONSIDERED